



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ammar Rayes, et al.

Serial No.: 10/688,051

Filed on: October 16, 2003

) Confirmation No.: 9185  
)  
) Examiner: NYA  
)  
) Group Art Unit No.: 2131  
)

For: POLICY-BASED NETWORK SECURITY MANAGEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: March 12, 2004



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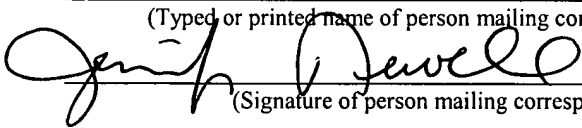
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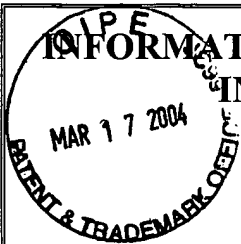
March 15, 2004  
(Date of Deposit)

Jennifer Newell

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(Signature of person mailing correspondence)

	<b>ATTY. DOCKET NO.</b> 50325-0800		<b>SERIAL NO.</b> 10/688,051
	<b>APPLICANT:</b> Ammar Rayes, et al.		
	<b>FILING DATE:</b> October 16, 2003		<b>GROUP:</b> 2131

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
		5,787,161		Rayes, et al.	07-28-1998	
		5,933,645		Wallack	08-03-1999	
		6,038,322		Harkins	03-14-2000	
		6,049,834		Khabardar, et al.	04-11-2000	
		6,119,231		Foss, et al.	09-12-2000	
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		6,134,559		Brumme, et al.	10-17-2000	
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		6,301,613	B1	Ahlstrom, et al.	10-09-2001	
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

Examiner Signature		Date Considered	
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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>(PTO-1449)</b>				<b>ATTY. DOCKET NO.</b> 50325-0800		<b>SERIAL NO.</b> 10/688,051	
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		6,324,656	B1	Gleichauf, et al.	11-27-2001	
		6,327,618	B1	Ahlstrom, et al.	12-04-2001	
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		6,347,339	B1	Morris, et al.	02-12-2002	
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		6,415,321	B1	Gleichauf, et al.	07-02-2002	
		6,418,468	B1	Ahlstrom, et al.	07-09-2002	
		6,427,174	B1	Sitaraman, et al.	07-30-2002	
		6,434,700	B1	Alonso, et al.	08-13-2002	
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		6,466,977	B1	Sitaraman, et al.	10-15-2002	

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		6,477,651	B1	Teal	11-05-2002	
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		Number	Kind Code <sup>2</sup> (If known)			
		6,567,917	B1	Ziese	05-20-2003	
		2003/0105866	A1	Colrain, et al.	06-05-2003	
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		6,651,096	B1	Gai, et al.	11-18-2003	
		2003/0217145	A1	Leung, et al.	11-20-2003	
		2003/0217180	A1	Chandra, et al.	11-20-2003	
		6,658,002	B1	Ross, et al.	12-02-2003	
		2003/0224788	A1	Leung, et al.	12-04-2003	
		2003/0236827	A1	Patel, et al.	12-25-2003	
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		6,680,998	B1	Bell, et al.	01-20-2004	
		6,684,331	B1	Srivastava	01-27-2004	
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<b>Substitute for Form 1449A/PTO (Modified)</b> (use as many sheets as necessary)	<b>Attorney Docket No.:</b> 50325-0800	<b>Application Number:</b> 10/688,051
	<b>First Named Inventor:</b> Ammar Rayes	
	<b>Filing Date:</b> October 16, 2003	

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Cisco Systems, Inc., "Release Notes for Cisco Content Routing Software, Release 1.1," 1992-2002, <a href="http://www.cisco.com/univercd/cc/td/doc/product/webscale/cr/cr4430/cr_11rn.htm">http://www.cisco.com/univercd/cc/td/doc/product/webscale/cr/cr4430/cr_11rn.htm</a> , data retrieved 2/11/04, pp.1-19.	
		Cisco Systems, Inc., "IP Source Tracker," 2003, <a href="http://www.cisco.com/univercd/cc/td/doc/product/software/ios120/120newft/120limit/120s/120s21/ipst.htm">http://www.cisco.com/univercd/cc/td/doc/product/software/ios120/120newft/120limit/120s/120s21/ipst.htm</a> , data retrieved 2/11/04, pp. 1-15.	
		Cisco Systems, Inc., "Configuring Denial of Service Protection," 1992-2003, <a href="http://www.cisco.com/univercd/cc/td/doc/product/core/cis/7600/software/121e/swcg/dos.htm">http://www.cisco.com/univercd/cc/td/doc/product/core/cis/7600/software/121e/swcg/dos.htm</a> , data retrieved 2/11/04, pp. 1-7.	
		Cisco Systems, Inc., "Cisco IOS Software Release 12.3(4)T: New Security Features and Hardware," 1992-2003, <a href="http://www.cisco.com/warp/public/cc/pd/iosw/prodlit/2358_pp.htm">http://www.cisco.com/warp/public/cc/pd/iosw/prodlit/2358_pp.htm</a> , data retrieved 2/11/04, pp. 1-11.	
		Cisco Systems, Inc., "Characterizing and Tracing Packet Floods Using Cisco Routers," 1992-2003, <a href="http://www.cisco.com/warp/public/707/22.html">http://www.cisco.com/warp/public/707/22.html</a> , data retrieved 2/11/04, pp. 1-9.	
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		Cisco Systems, Inc., "SAFE Nimda Attack Mitigation," 1992-2003, <a href="http://www.cisco.com/warp/public/cc/so/cuso/epso/sqfr/snam_wp.htm">http://www.cisco.com/warp/public/cc/so/cuso/epso/sqfr/snam_wp.htm</a> , data retrieved 2/11/04, pp. 1-6.	
		Cisco Systems, Inc., "Cisco IOS Software Release 11.3 New Features," 1992-2002, <a href="http://www.cisco.com/warp/public/cc/pd/iosw/iore/iore113/prodlit/706_pp.htm">http://www.cisco.com/warp/public/cc/pd/iosw/iore/iore113/prodlit/706_pp.htm</a> , data retrieved 2/11/04, pp. 1-45.	
		Cisco Systems, Inc., "Monitoring the System," Chapter 2, Cisco ICS 7750 Administration and Troubleshooting Guide, 1992-2002, <a href="http://www.cisco.com/univercd/cc/td/doc/product/voice/ics7750/tblshoot/monitor.htm">http://www.cisco.com/univercd/cc/td/doc/product/voice/ics7750/tblshoot/monitor.htm</a> , data retrieved 2/11/04, pp. 2-1 – 2-28.	

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Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Cisco Systems, Inc., "Monitoring," Chapter 13, VPN 3002 Hardware Client User Guide, 1989-2000, <a href="http://www.cisco.com/univercd/cc/td/doc/product/vpn/vpn3002/3_0/user_gd/monitor.htm">http://www.cisco.com/univercd/cc/td/doc/product/vpn/vpn3002/3_0/user_gd/monitor.htm</a> , data retrieved 2/11/04, pp. 13-1 – 13-47.	
		Cisco Systems, Inc., "Security Reference Information," 1992-2003, <a href="http://www.cisco.com/warp/public/707/ref.html">http://www.cisco.com/warp/public/707/ref.html</a> , data retrieved 2/11/04, pp. 1-3.	
		Cisco Systems, Inc., "Cisco Security Advisory: TCP Loopback DoS Attack (land.c) and Cisco Devices," 1992-2003, <a href="http://www.cisco.com/warp/public/770/land-pub.shtml">http://www.cisco.com/warp/public/770/land-pub.shtml</a> , data retrieved 2/11/04, pp. 1-9.	
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		Cisco Systems, Inc., "Protecting Your Core: Infrastructure Protection Access Control Lists," 1992-2003, 10 pages.	
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